

United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
10/077,624	02/14/2002	Wenyuan Shi	061818-5512 US	2797	
34055 PERKINS CO	7590 . 10/31/2007 IF LLP	•	ЕХАМ	INER	
POST OFFICE	E BOX 1208		ZEMAN, ROBERT A		
SEATTLE, W.	A 98111-1208		. ART UNIT	PAPER NUMBER	
			1645		
	•				
			MAIL DATE	DELIVERY MODE	
			10/31/2007	PAPER	

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.



United States Patent and Trademark Office

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, DC 20231
www.uspio.gov

APPLICATION NO./	FILING DATE	FIRST NAMED INVENTOR /	ATTORNEY DOCKET NO.
CONTROL NO.		PATENT IN REEXAMINATION	·
10/077,624		Shi, Wenyuan	

EXAMINER

Robert A. Zeman

ART UNIT PAPER

1645

DATE MAILED:

Please find below and/or attached an Office communication concerning this application or proceeding.

Commissioner of Patents

The communication filed on 4-23-2007 is not fully responsive to the Office communication mailed 9-13-2006 for the reason(s) set forth on the attached Notice to Comply With the Sequence Rules or CRF Diskette Problem Report.

Since the abbove mentioned reply appears to be *bona fide*, applicant is given a TIME PERIOD of ONE (1) MONTH or THIRTY DAYS from the mailing date of this notice, which ever is longer, within which to supply the omission or correction in order to avoid abandonment. EXTENSIONS OF THIS TIME PERIOD MAY BE GRANTED UNDER C.F.R. 1.136(a).

The addresses below are effective 5 June 2004. Please direct all replies to the United States Patent and Trademark Office via one (1) of the following:

- Electronically submitted through EFS-Bio
 (http://www.uspto.gov/ebc/efs/downloads/documents.htm, EFS Submission User Manual ePAVE)
- 2. Mailed to:

Mail Stop Sequence

Commissioner for Patents

P.O. Box 22313-1450

Alexandria, VA 22313-1450

3. Hand Carry, Federal Express, United Parcel Service or other delivery service to:

U.S. Patent and Trademark Office

Mail Stop Sequence

Customer Window

Randolph Building 401 Dulaney Street Alexandria, VA 22314

Any inquiry concerning this communication should be directed to Examiner Robert A. Zeman, Art Unit 1645, whose telephone number is (571) 272-0866.

Any inquiry of a general nature or relating to the status of this application should be directed to the Group receptionist whose telephone number is (703) 308-0196.

ROBERT A. ZEMAN PRIMARY EXAMINER

Applicant(s) Application No. 10/077,624 Shi et al. **Notice to Comply** Examiner **Art Unit** Robert A. Zeman 1645

NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING **NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES**

Applicant must file the items indicated below within the time period set the Office action to which the Notice is attached

	avoid abandonment under 35 U.S.C. § 133 (extensions of time may be obtained under the provisions of 37 CFR 36(a)).
	e nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the juirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):
	1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998).
	2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
	3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
	4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing."
\boxtimes	5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
	6. The paper copy of the "Sequence Listing" is not the same as the computer readable from of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
	7. Other:
	oplicant Must Provide: An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".
	An initial or substitute paper copy of the "Sequence Listing", as well as an amendment specifically recting its entry into the application.
	A statement that the content of the paper and computer readable copies are the same and, where applicable, lude no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).
Fo	r questions regarding compliance to these requirements, please contact:

For Rules Interpretation, call (571) 272-0731 or (571) 272-0951

For CRF Submission Help, call (571) 272-2510

PatentIn Software Program Support

Technical Assistance.1-866-217-9197 or 703-305-3028 or 571-272-6845

PatentIn Software is Available At www.USPTO.gov

PLEASE RETURN A COPY OF THIS NOTICE WITH YOUR REPLY

Sequence Listing could not be accepted.

If you need help call the Patent Electronic Business Center at (866)

217-9197 (toll free).

Reviewer: Durreshwar Anjum

Timestamp: Wed Jun 06 13:00:52 EDT 2007

Reviewer Comments:

Seq Id 15,16,17 has an invalid response for <213>. If <213> responses are Aritificial or Unknown please give the source of genetic material. The response mentioned is not sufficient.

Validated By CRFValidator v 1.0.2

Application No:

10077624

Version No:

2.0

Input Set:

Output Set:

Started: 2007-06-05 17:45:55.806

Finished: 2007-06-05 17:45:57.165

Elapsed: 0 hr(s) 0 min(s) 1 sec(s) 359 ms

Total Warnings: 31

Total Errors: 0

No. of SeqIDs Defined: 31

Actual SeqID Count: 31

Eri	ror code	Error Descripti	ion								
M	213	Artificial	or	Unknown	found	in	<213>	in	SEQ	ID	(1)
W	213	Artificial	or	Unknown	found	in	<213>	in	SEQ	ID	(2)
W	213	Artificial	or	Unknown	found	in	<213>	in	SEQ	ID	(3)
M	213	Artificial	or	Unknown	found	in	<213>	in	SEQ	ID	(4)
M	213	Artificial	or	Unknown	found	in	<213>	in	SEQ	ID	(5)
M	213 .	Artificial	or	Unknown	found	in	<213>	in	SEQ	ID	(6)
W	213	Artificial	or	Unknown	found	in	<213>	in	SEQ	ID	(7)
W	213	Artificial	or	Unknown	found	in	<213>	in	SEQ	ID	(8)
W	213	Artificial	or	Unknown	found	in	<213>	in	SEQ	ID	(9)
W	213	Artificial	or	Unknown	found	in	<213>	in	SEQ	ID	(10)
W	213	Artificial	or	Unknown	found	in	<213>	in	SEQ	ID	(11)
W	213	Artificial	or	Unknown	found	in	<213>	in	SEQ	ID	(12)
W	213	Artificial	or	Unknown	found	in	<213>	in	SEQ	ID	(13)
W	213	Artificial	or	Unknown	found	in	<213>	in	SEQ	ID	(14)
W	213	Artificial	or	Unknown	found	in	<213>	in	SEQ	ID	(15)
W	213	Artificial	or	Unknown	found	in	<213>	in	SEQ	ID	(16)
W	213	Artificial	or	Unknown	found	in	<213>	in	SEQ	ID	(17)
W _j	213	Artificial	or	Unknown	found	in	<213>	in	SEQ	ID	(18)
W	213 .	Artificial	or	Unknown	found	in	<213>	in	SEQ	ID	(19)
M	213	Artificial	or	Unknown	found	in	<213>	in	SEQ	ID	(20)

Input Set:

Output Set:

Started: 2007-06-05 17:45:55.806

Finished: 2007-06-05 17:45:57.165

Elapsed: 0 hr(s) 0 min(s) 1 sec(s) 359 ms

Total Warnings: 31

Total Errors: 0

No. of SeqIDs Defined: 31

Actual SeqID Count: 31

Error code

Error Description

This error has occured more than 20 times, will not be displayed

SEQUENCE LISTING

<110> THE REGENTS OF THE UNIVERSITY OF CALIFORNIA
Shi, Wenyuan
Morrison, Sherie
Trinh, Kham
Wims, Letitia
Chen, Li
Anderson, Maxwell
Qi, Fengxia
<120> ANTI-MICROBIAL TARGETING CHIMERIC PHARMACEUTICAL
<130> 59157.8007.US01
<140> 10077624
<141> 2002-02-14
<150> US 09/910, 358
<151> 2001-07-19
<150> US 09/378,577
<151> 1999-08-20
· · · · · · · · · · · · · · · · · · ·
<160> 31
4170
<170> PatentIn version 3.1
<210> 1
<211> 563
<212> DNA
<213> Artificial sequence
<220>
<223> Synthesized using sequential PCR techniques
<400> 1
ggatatccac catggacttc gggttgagct tggttttcct tgtccttact ttaaaaggtg 60
tccagtgtga tagccacgct aagcggcacc acggatataa gcggaagttc cacgagaagc 120
accactcgca cagaggatac tctggtggcg gtggctcggg cggaggtggg tcgggtggcg 180
gcggatccga cgtgaagctt gtggagtctg ggggaggctt agtgaaccct ggagggtccc 240
tgaaactctc ctgtgcagcc tctggattca ctttcagtag ctataccatg tcttgggttc 300
gccagactcc ggagaagagg ctggagtggg tcgcatccat tagtagtggt ggtacttaca 360
cctactatcc agacagtgtg aagggccgat tcaccatctc cagagacaat gccaagaaca 420
ccctgtacct gcaaatgacc agtctgaagt ctgaggacac agccatgtat tactgttcaa 480
, , , , , , , , , , , , , , , , , , , ,
gagatgaegg etectaegge tectattaet atgetatgga etaetggggt caaggaacet 540
, , , , , , , , , , , , , , , , , , ,
cagtcaccgt ctcttcagct agc 563

```
<210> 2
<211> 24
<212> PRT
<213> Artificial sequence
<220>
<223> Synthesized using sequential PCR techniques
<400> 2
Asp Ser His Ala Lys Arg His His Gly Tyr Lys Arg Lys Phe His Glu
                                  10
Lys His His Ser His Arg Gly Tyr
           20
<210> 3
<211> 16
<212> PRT
<213> Artificial sequence
<220>
<223> Synthesized using sequential PCR techniques
<400> 3
Ser Gly Gly Gly Ser Gly Gly Gly Gly Ser Gly Gly Gly Ser
                                   10
<210> 4
<211> 165
<212> PRT
<213> Artificial sequence
<220>
<223> Synthesized using sequential PCR techniques
<400> 4
Asp Ser His Ala Lys Arg His His Gly Tyr Lys Arg Lys Phe His Glu
               5
                                  10
Lys His His Ser His Arg Gly Tyr Ser Gly Gly Gly Ser Gly Gly
           20
                               25
Gly Gly Ser Gly Gly Gly Ser Asp Val Lys Leu Val Glu Ser Gly
       35
                           40
Gly Gly Leu Val Asn Pro Gly Gly Ser Leu Lys Leu Ser Cys Ala Ala
   50
                      55
```

Ser Gly Phe Thr Phe Ser Ser Tyr Thr Met Ser Trp Val Arg Gln Thr Pro Glu Lys Arg Leu Glu Trp Val Ala Ser Ile Ser Ser Gly Gly Thr Tyr Thr Tyr Tyr Pro Asp Ser Val Lys Gly Arg Phe Thr Ile Ser Arg 105 Asp Asn Ala Lys Asn Thr Leu Tyr Leu Gln Met Thr Ser Leu Lys Ser 120 Glu Asp Thr Ala Met Tyr Tyr Cys Ser Arg Asp Asp Gly Ser Tyr Gly 135 130 Ser Tyr Tyr Tyr Ala Met Asp Tyr Trp Gly Gln Gly Thr Ser Val Thr 150 155 Val Ser Ser Ala Ser 165 <210> 5 <211> 533 <212> DNA <213> Artificial sequence <220> <223> Synthesized using squential PCR techniques <400> 5 ggatatccac catggacttc gggttgagct tggttttcct tgtccttact ttaaaaggtg 60 120 tccagtgtaa gcggctgttt aaggagctca agttcagcct gcgcaagtac tctggtggcg 180 gtggctcggg cggaggtggg tcgggtggcg gcggatccga cgtgaagctt gtgyagtctg ggggaggett agtgaacect ggagggteec tgaaactete etgtgeagee tetggattea 240 ctttcagtag ctataccatg tcttgggttc gccagactcc ggagaagagg ctggagtggg 300 togcatocat tagtagtggt ggtacttaca cotactatoc agacagtgtg aagggccgat 360 tcaccatctc cagagacaat gccaagaaca ccctgtacct gcaaatgacc agtctgaagt

ctgaggacac agccatgtat tactgttcaa gagatgacgg ctcctacggc tcctattact

533

atgctatgga ctactggggt caaggaacct cagtcaccgt ctcttcagct agc

```
<210> 6
<211> 14
<212> PRT
<213> Artificial sequence
<220>
<223> Synthesized using squential PCR techniques
<400> 6
Lys Arg Leu Phe Lys Glu Leu Lys Phe Ser Leu Arg Lys Tyr
               5
<210> 7
<211> 155
<212> PRT
<213> Artificial sequence
<220>
<223> Synthesized using squential PCR techniques
<400> 7
Lys Arg Leu Phe Lys Glu Leu Lys Phe Ser Leu Arg Lys Tyr Ser Gly
                                   10
                                                       15
Gly Gly Gly Ser Gly Gly Gly Ser Gly Gly Gly Ser Asp Val
                                                   30
                               25
            20
Lys Leu Val Glu Ser Gly Gly Gly Leu Val Asn Pro Gly Gly Ser Leu
                                               45
                           40
        35
Lys Leu Ser Cys Ala Ala Ser Gly Phe Thr Phe Ser Ser Tyr Thr Met
Ser Trp Val Arg Gln Thr Pro Glu Lys Arg Leu Glu Trp Val Ala Ser
                                       75
                                                           80
Ile Ser Ser Gly Gly Thr Tyr Thr Tyr Tyr Pro Asp Ser Val Lys Gly
               85
Arg Phe Thr Ile Ser Arg Asp Asn Ala Lys Asn Thr Leu Tyr Leu Gln
                               105
                                                   110
Met Thr Ser Leu Lys Ser Glu Asp Thr Ala Met Tyr Tyr Cys Ser Arg
                           120
        115
                                               125
```

Asp Asp Gly Ser Tyr Gly Ser Tyr Tyr Tyr Ala Met Asp Tyr Trp Gly

130 135 140

Gln Gly Thr Ser Val Thr Val Ser Ser Ala Ser 155 145 150 <210> 8 <211> 89 <212> DNA <213> Artificial sequence <220> <223> Primer 986 <400> 8 caccactege acagaggata ctctggtggc ggtggctcgg gcggaggtgg gtcgggtggc 89 ggcggatccg acgtgaagct tgtggagtc <210> 9 <211> 84 <212> DNA <213> Artificial sequence <220> <223> Primer 987 <400> 9 ggtgtccagt gtgatagcca cgctaagcgg caccacggat ataagcggaa gttccacgag 84 aagcaccact cgcacagagg atac <210> 10 <211> 74 <212> DNA <213> Artificial sequence <220> <223> Primer 988 <400> 10 gatatecace atggaetteg ggttgagett ggtttteett gteettaett taaaaggtgt ccagtgtgat agcc 74 <210> 11 <211> .87 <212> DNA <213> Artificial sequence <220>

<223> Primer 989

<400>	11-	
gttcag	cctg cgcaagtact ctggtggcgg tggctcgggc ggaggtgggt cgggtggcgg	60
cggatc	cgac gtgaagettg tggagte	87
	•	
<210>	12	
<211>	69	
<212>	DNA	
	Artificial sequence	
	•.	
<220>	•	
	Primer 990	
<400>	12	
	actt taaaaggtgt ccagtgtaag cggctgttta aggagctcaa gttcagcctg	60
900000	acct tadaaygaga caagegaaag eggetjacaa aggageteaa getragetty	
cgcaagt	rac	69
cycaagi		
201 Os	13	•
<210>	13	
	65	
	DNA	
<213>	Artificial sequence	
<220>		
<223>	Primer 991	
	,	
<400>	13	60
	13 ccac catggacttc gggttgagct tggttttcct tgtccttact ttaaaaggtg	60
ggatat	·	
	·	60 65
ggatat	·	
ggatato	ccac catggaette gggttgaget tggtttteet tgteettaet ttaaaaggtg	
ggatate tccag	ccac catggaette gggttgaget tggtttteet tgteettaet ttaaaaggtg	
ggatate tccag <210> <211>	ccac catggacttc gggttgagct tggttttcct tgtccttact ttaaaaggtg 14 39	
ggatate tccag <210> <211> <212>	ccac catggacttc gggttgagct tggttttcct tgtccttact ttaaaaggtg 14 39 DNA	
ggatate tccag <210> <211> <212>	ccac catggacttc gggttgagct tggttttcct tgtccttact ttaaaaggtg 14 39	
<pre>ggatate tccag <210> <211> <212> <213> </pre>	ccac catggacttc gggttgagct tggttttcct tgtccttact ttaaaaggtg 14 39 DNA	
<pre>ggatate tccag <210> <211> <212> <213> <220></pre>	14 39 DNA Artificial sequence	
<pre>ggatate tccag <210> <211> <212> <213> <220></pre>	ccac catggacttc gggttgagct tggttttcct tgtccttact ttaaaaggtg 14 39 DNA	
<pre>ggatate tccag <210> <211> <212> <213> <220></pre>	14 39 DNA Artificial sequence	
<pre>ggatate tccag <210> <211> <212> <213> <220> <223></pre>	14 39 DNA Artificial sequence	
<pre>ggatate tccag <210> <211> <212> <213> <220> <223> <400></pre>	14 39 DNA Artificial sequence	
<pre>ggatate tccag <210> <211> <212> <213> <220> <223> <400></pre>	14 39 DNA Artificial sequence Primer 452	65
<pre>ggatate tccag <210> <211> <212> <213> <220> <223> <400></pre>	14 39 DNA Artificial sequence Primer 452	65
<pre>ggatate tccag <210> <211> <212> <213> <220> <223> <400></pre>	14 39 DNA Artificial sequence Primer 452	65
<pre>ggatate tccag <210> <211> <212> <213> <220> <223> <400> tgggtcg</pre>	14 39 DNA Artificial sequence Primer 452 14 gacw gatgggstg ttgtgctagc tgaggagac	65
<pre>ggatate tccag <210> <211> <212> <213> <220> <223> <400> tgggtcc <210> <211></pre>	14 39 DNA Artificial sequence Primer 452 14 gacw gatggggstg ttgtgctagc tgaggagac	65
<pre>ggatate tccag <210> <211> <212> <213> <220> <223> <400> tgggtcg <211> <210> <211> <210><<212></pre>	14 39 DNA Artificial sequence Primer 452 14 gacw gatggggstg ttgtgctagc tgaggagac	65
<pre>ggatate tccag <210> <211> <212> <213> <220> <223> <400> tgggtcg <211> <210> <211> <210><<212></pre>	14 39 DNA Artificial sequence Primer 452 14 gacw gatggggstg ttgtgctagc tgaggagac	65
<pre>ggatate tccag <210> <211> <212> <213> <220> <223> <400> tgggtcg <211> <210> <211> <210><<212></pre>	14 39 DNA Artificial sequence Primer 452 14 gacw gatggggstg ttgtgctagc tgaggagac	65
<pre>ggatate tccag <210> <211> <212> <213> <220> <223> <400> tgggtcc <211> <211> <212> <213></pre>	14 39 DNA Artificial sequence Primer 452 14 gacw gatggggstg ttgtgctagc tgaggagac	65
<pre>ggatate tccag <210> <211> <212> <213> <220> <223> <400> tgggtcc <211> <211> <212> <213></pre>	14 39 DNA Artificial sequence Primer 452 14 gacw gatggggstg ttgtgctagc tgaggagac 15 18 PRT Artificial sequence	65

Arg Gly Gly Arg Leu Cys Tyr Cys Arg Arg Arg Phe Cys Val Cys Val

```
1 5 10 15
```

```
Gly Arg
<210> 16
<211> 57
<212> DNA
<213> Artificial sequence
<220>
<223> Protegrin PG-1
<400> 16
aggggaggte geetgtgeta ttgtaggegt aggttetgeg tetgtgtegg aegagga
                                                                    57
<210> 17
<211> 18
<212> PRT
<213> Artificial sequence
<220>
<223> Novispirin G10
<400> 17
Lys Asn Leu Arg Arg Ile Ile Arg Lys Gly Ile His Ile Ile Lys Lys
                                   10
Tyr Gly
<210> 18
<211> 36
<212> DNA
<213> Artificial sequence
<220>
<223> Forward primer 1
<400> 18
ggtggttgct cttccaacag gggaggtcgc ctgtgc
                                                                    36
```

<210> 19
<211> 23
<212> DNA
<213> Artificial sequence
<220>
<223> Reverse primer 2

```
<400> 19
                                                                    23
ccggatcctc gtccgacaca gac
<210> 20
<211> 23
<212> DNA
<213> Artificial sequence
<220>
<223> Forward primer 3
<400> 20
                                                                    23
ggggatccgg tggcggtggc tcg
<210> 21
<211> 26
<212> DNA
<213> Artificial sequence
<220>
<223> Reverse primer 4
<400> 21
aacatcgata gatccgccgc cacccg
                                                                    26
<210> 22
<211> 23
<212> DNA
<213> Artificial sequence
<220>
<223> Forward primer 5
<400> 22
ggatcgatgt tgtgatgacc cag
                                                                    23
<210> 23
<211> 31
<212> DNA
<213> Artificial sequence
<220>
<223> Reverse primer 6
<400> 23
gcgggtcgac cgacttacgt ttcagctcca g
                                                                    31
<210> 24
<211> 29
<212> DNA
```

<213> Artificial sequence

```
<220>
<223> Forward primer 7
<400> 24
                                                                    29
gcgggtcgac gtgaagctgg tggagtctg
<210> 25
<211> 30
<212> DNA
<213> Artificial sequence
<220>
<223> Reverse primer 8
<400> 25
                                                                    30
gggtgttgag ctagctgaag agacggtgac
<210> 26
<211> 24
<212> PRT
<213> Artificial sequence
<220>
<223> Linker 2
<400> 26
Leu Asp Pro Lys Ser Cys Glu Arg Ser His Ser Cys Pro Pro Cys Gly
Gly Gly Ser Gly Gly Gly Thr Ser
           20
<210> 27
<211> 72
<212> DNA
<213> Artificial sequence
<220>
<223> Linker 2
ctcgacccaa agagetgcga gcggagecac agetgcccae cgtgcggggg tgggtccggc
                                                                    72
ggtggcacta gt
<210> 28
<211> 28
<212> DNA
<213> Artificial sequence
```

<220>

```
<223> Forward primer 9
<400> 28
                                                                     28
gtgggctagc ctcgacccaa agagctgc
<210> 29
<211> 38
<212> DNA
<213> Artificial sequence
<220>
<223> Reverse primer 10
<400> 29
                                                                     38
aggttctcgg ggctgcccac tagtgccacc gccggacc
<210> 30
<211> 19
<212> DNA
<213> Artificial sequence
<220>
<223> Forward primer 11
<400> 30
gggcagcccc gagaacaac
                                                                     19
<210> 31
· <211> 33
<212> DNA
<213> Artificial sequence
<220>
<223> Reverse primer 12
<400> 31
```

ggtggtctgc agtttacccg gggacaggga gag

33